

JOB NO. 949

DATE: March 14, 2007

FACSIMILE TRANSMISSION COVER SHEET

TO: Examiner M. Zimmer
U.S.P.T.O. - Group Art Unit: 1712

FAX NO.: 1-571-273-1096

FROM: Nichole E. Martiak, Esq.

SENDER: Kathy Goodhand

MESSAGE:

*Second Submission of Fee Address Indication Form
U.S.S.N. 10/748,190
Filed: December 31, 2003
Our Docket: 1608-3 DIV
Applicants: T. Ogata, et al.*

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COPY PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Toshiyuki Ogata et al.

Art Unit: 1712

Application No.: 10/748,190

Examiner: Marc S. Zimmer

Filed: December 31, 2003

Docket: 1608-3 DIV

Confirmation No.: 5536

For: POSITIVE RESIST
COMPOSITION AND BASE MATERIAL
CARRYING LAYER OF THE POSITIVE
RESIST COMPOSITION

Dated: August 29, 2006

I hereby certify that this correspondence is being deposited
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Dated: August 29, 2006Signature Marcy H. Mancuso / Marcy H. Mancuso

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SECOND SUBMISSION OF FEE ADDRESS INDICATION FORM

Sir:

Please find enclosed copies of the executed forms PTO/SB/47 and PTO/SB/96 that were originally mailed June 13, 2006. Accordingly, please recognize the Fee Address associated with Customer Number 23869 under the provisions of 37 C.F.R. § 1.363.

Also enclosed is a copy of a New Power of Attorney including Revocation of Prior Powers of Attorney.

Application No.: 10/748,190
Applicant: Toshiyuki Ogata et al.
Docket No.: 1608-3 DIV
Page 2

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Please change the attorney docket no. to 1608-3 DIV.

Should the Examiner have any questions or comments concerning the above, the Examiner is respectfully invited to contact the undersigned attorney at the telephone number given below.

Respectfully submitted,

Nichole E. Martiak

Nichole E. Martiak
Registration No.: 55,832
Attorney for Applicants

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When to check the first box below: If the fee address for the patent and/or application number(s) you indicate is to be established with, or changed to, an existing Customer Number.

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For more information on Customer Numbers, see the Manual of Patent Examining Procedure (MPEP) §403.

Please recognize as the "Fee Address" under the provisions of 37 CFR 1.363 the address associated with:

☒ Customer Number:

23869

OR

☐ Request for Customer Number (PTO/SB125) attached hereto
In the following listed application(s) for which the Issue Fee has been paid for patent(s).

PATENT NUMBER (if known)	APPLICATION NUMBER
	10/748,190

Completed by (check one)

☐ Applicant/Inventor

☐ Attorney or Agent of record

☒ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

☒ Assignment recorded at Reel 012063 Fram 0366

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

Yoichi Nakamura
Signature

Yoichi Nakamura
Typed or printed name

044-435-3000
Requester's telephone number

May 8, 2006
Date

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)

COPY

Applicant/Patent Owner: Ogata, et al.

Application No./Patent No./Control No.: 10/748,190

Filed/Issue Date: December 31, 2003

Entitled: **POSITIVE RESIST COMPOSITION AND BASE MATERIAL CARRYING LAYER OF THE POSITIVE RESIST COMPOSITION**

Tokyo Okha Kogyo Co., Ltd.

, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at 012063, Frame 0166, or a true copy of the original is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Yoichi Nakamura
Signature
Yoichi Nakamura
Printed or Typed Name
President
Title

May 8, 2006
Date
0484-435-3000
Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INCLUDING REVOCATION OF PRIOR POWERS OF ATTORNEY

Assignee of the entire right, title, and interest in the patents and applications listed in the attached Schedule A hereby revokes all powers of attorney previously given with respect to these matters. Ownership is established in the attached Statement Under 37 CFR 3.73(b). The following attorneys are hereby appointed to prosecute and transact all business in the U. S. Patent and Trademark Office in connection with this matter:

all practitioners associated with Customer No. 23869.

Please address all future correspondence and telephone communications to:

Daniel A. Scola, Jr.
HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
Tel: (973) 331-1700
Fax: (973) 331-1717

Respectfully submitted,

Date: May 8, 2006



HOFFMANN & BARON, LLP
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Schedule A

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U.S. Pending ApplicationsH&B Docket No.Application No.Filed

1608-3 DIV

10/748,190

December 31, 2003

1608-3 DIV 2

11/035,965

January 18, 2005

1608-4

10/147,984

May 20, 2002

1608-4 DIV A

11/258,273

October 26, 2005

1608-4 DIV B

11/258,274

October 26, 2005

Applicant(s): Toshiyuki Ogata et al.
Art Unit: 1712
Appl'n. Serial No: 10/748,190
Examiner: Marc S. Zimmer
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Title: POSITIVE RESIST COMPOSITION AND BASE MATERIAL
CARRYING LAYER OF THE POSITIVE RESIST COMPOSITION
Certificate of Mailing Dated: August 29, 2006
Attorney Docket: 1608-3 DIV

The U. S. Patent and Trademark Office date stamp will acknowledge receipt of the following:

(x) Second Submission of Fee Address Indication Form (2 pages);

(x) Copy of Form PTO/SB/47 (1 page);

(x) Copy of Form PTO/SB/96 (1 page);

(x) Copy of New Power of Attorney (2 pages); and

(x) Return Receipt Postcard

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